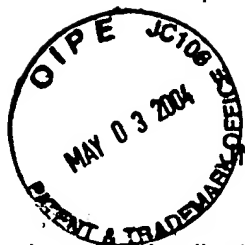


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Wm



Docket No.: 1330.1013C2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Donald A. SMALLEY, et al.

Serial No. 09/837,428

Group Art Unit: 2171

Confirmation No. 8921

Filed: April 19, 2001

Examiner: Monplaisier G. Hamilton

For: SYSTEM FOR MANAGING REGULATED ENTITIES

AMENDMENT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

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MAY 06 2004

Technology Center 2100

Sir:

This is in response to the Office Action mailed February 3, 2004, and having a period for response set to expire on May 3, 2004.

The following amendments and remarks are respectfully submitted. Reconsideration of the claims is respectfully requested.

05/05/2004 WADDELRL1 000000039 09837428
01 FC:1201 86.00 OP
02 FC:1202 18.00 OP